

# Participatory Management for Sustainable Low-Carbon Community in Highland Community, Thailand

Katesuda Sitthisuntikul<sup>1\*</sup>,Sayam Aroonsrimorakot <sup>2</sup>,Kampanad Bhaktikul <sup>2</sup>,Kanitta Satienpeerakul<sup>1</sup>,Weerasak Rungreuangwong<sup>3</sup> ,Sumalee Mensin<sup>4</sup> ,Pattaraporn Jewaou<sup>4</sup> ,Wanlapa Outong<sup>4</sup> and Sidthipong Sathawong<sup>4</sup>

<sup>1</sup> 1Faculty of Economics, Mae Jo University, Chiang Mai, Thailand
 <sup>2</sup> Faculty of Environment and Resources Studies, Mahidol University, Nakhon Pathom, Thailand
 <sup>3</sup> Faculty of Sciences, Chiang Mai University, Chiang Mai, Thailand
 <sup>4</sup> Highland Research and Development Institute, Chiang Mai, Thailand

#### **Abstract**

The concept of sustainable development by using participatory management is trendily favored for developing low-carbon communities. This research aimed to investigate participatory management for developing sustainable low-carbon communities, community performances in sustainable low-carbon communities, and contributing factors of these performances. The research area was located at Pa Pae Village, Pa Pae Sub-District, Mae Sa Rieng District, Mae Hong Son Province, Thailand. Data were analyzed from in-depth interviews, focus groups, and document investigations. The results indicated that participatory management consisted of a cycle of three stages, need identification, planning, and implementation, which required community meetings to make discussion and decision. The performances in sustainable low-carbon communities also involved environmentally friendly agriculture, forest restoration, conservation, community health management, community strength, and efficient use of resources. These performances relied on socio-culture of community, community leaders, and encouragement of agency. Therefore, participatory management should include the stage of evaluation. Leadership development in participatory management should be also focused to continue the community performances in sustainable low-carbon communities, along with encouraging active social learning process to achieve the goal of sustainable development.

Keywords: food waste, compost, household compose bin

Article history: Received 24 April 2023, Revised 02 May 2023, Accepted 12 June 2023

#### 1. Introduction

There has been an economic trend towards a lowcarbon community, society or city which aims to control the use of natural resources and pollution and involves different strategies under the concept of sustainable development [1]. UK government promotes hundreds of low-carbon community groups to reduce greenhouse gas emissions in response to climate change [2]. The energy policy of Japan also directs to increasing the use of renewable energy up to 22-24 percent in 2030 and reducing greenhouse gas up to 80 percent in 2050 [3]. In addition, China drives programs and projects through provincial and municipal government to create a low-carbon eco-city that involves performance indicators of efficient use of resources, a friendly environment, sustainable economy, and harmonious society [4]. In Thailand, the lowcarbon society was included in the national, social, and economic development plan since 2011 which focuses on changing human behavior to reduce greenhouse gas emissions in residential communities, workplaces, business, industry, agriculture, and tourism [5].

The term 'low-carbon community' has been discussed in various perspectives. Liu e et al (2021) describes this term as a community that has low carbon emission and environmentally friendly environment such as green buildings and effective energy management [6]. In contrast, Heiskanen et al (2010) argued that a low-carbon community is a form of cooperation and collaboration to strengthen behavior change for reducing carbon emissions in communities which depends upon the appropriate mechanisms and contexts of each community [7]. Low-carbon community also associates with three stages of low-carbon development; low-carbon economy or primary stage (achieve low-carbon industry, low-carbon tourism), low-carbon society or developmental stage (achieve low-carbon community, low-carbon life, and low-carbon city), and low-carbon world or maturity stage (achieve lowcarbon over the world in economy, politics, culture, and life), respectively [8]. However, the literature indicates that communities have the capacity to create low-carbon activities and drive individual behav-

<sup>\*</sup>Corresponding author; email: ktieng71@hotmail.com

ior change toward the environment [9]. For example, indigenous communities in Uganda adapt their knowledge in response to climate change especially in agricultural practices (planting, weeding and harvesting) [10]. In Thailand, Sampaya Community, Cha-Am District, Phetchaburi Province, determines four low-carbon strategies which consist of reducing carbon emissions from activities of production and consumption, educating community members about low-carbon community, building participation with community members and agencies, and developing low-carbon transportation [11].

In addition, participatory management has been implemented as a tool or strategy for developing lowcarbon communities since this approach focuses on the process that builds participation in practices to achieve the goal of organization or community [12]. Such process is a series of activities and actions engaging with sharing decision-making, implementation, benefits, and evaluation [13]. Therefore, participatory management provides several advantages; stimulating a sense of belonging to common missions, improving decisions and actions, facilitating collective learning, increasing opportunities for success, and strengthening community [14]. For example, Bangladesh people in the southwestern region participated in reforestation to increase carbon stocks along roads and highways [15]. The carbon market developed by Vietnamese people also contributes to reducing greenhouse gas emissions by nine percent [16]. Farmers at the learning center at Klong Noi Community, Mueang District, Surat Thani Province, Thailand achieve the practices of a low-carbon agricultural community after farmer leaders stimulate group activities of nonchemical agricultural practices. They also obtain benefits from non-chemical agricultural practices, such as reducing production costs and encouragement of subdistrict administrative organizations and departments of agriculture to be obtained encouragement in need [17]. However, some scholars mention about barriers of participatory approach; for example, inadequate community skills, limited time, and insufficient conversation [18].

This research aimed to investigate participatory management for developing sustainable low-carbon communities, community performances in sustainable low-carbon communities implementing participatory management, and contributing factors of these performances. Participatory management was defined as the process that built participation in sustainable low-carbon communities and sustainable low-carbon communities referred to the participation of community members to perform activities reducing carbon emissions in response to the concept of sustainable development. The research was conducted in the area of Pa Pae Village, Pa Pae Sub-district, Mae Sa Rieng District, Mae Hong Son Province where people had experience in participatory management for developing

sustainable low-carbon communities and the performances of sustainable low-carbon communities, certified by the Royal Project and Highland Research and Development Institute and Mahidol University.

#### 2. Methods

#### 2.1 Area of Study

The area of study selected for this research was limited to a small highland village in the North of Thailand which is located at Pa Pae Village, Pa Pae Sub-district, Mae Sa Rieng District, Mae Hong Son Province. The total population of this village was 405 residents in 93 households and most villagers were Laue, an ethnic group. This village was surrounded by mountains and trees which was related to livelihoods depending upon natural resources, especially agricultural practices.

#### 2.2 Scope of Study

The investigation in this study focused on the issues about sustainable low-carbon communities:1) how leaders conduct participatory management for developing sustainable low-carbon communities; 2) what community performances in sustainable low-carbon communities implementing participatory management are; and 3) what factors contributed to such community performances and how they were.

#### 2.3 Data Collection

Permission to conduct this research were granted by the village leader at Pa Pae Village. After that, three qualitative methods were used to collect data, i.e., document investigation, focus group, and in-depth interview. Document investigation included archival materials from participants such as reports, memos, and pictures. Researcher purposively selected twenty participants, who were able to provided information according to the objectives of this study, for in-depth interview and focus groups. Entire participants were able to provide information voluntarily and stop providing information whenever they want. They were divided into three groups-leaders, villagers, and agencies. Leaders consisted of the village leader and the community committee at Pa Pae Village who had experience in participatory management for developing sustainable low-carbon communities. Villagers were community members who lived in Pa Pae Village and engaged in the performances of sustainable low-carbon communities. Agencies included representatives of government officials from Mahidol University and the Royal Project at Pa Pae District who encouraged leaders to develop sustainable low-carbon communities. In in-depth interviews, researchers used semi-structured interview forms which focused on different sets of questions for each group of participants.

Leaders were asked about how to conduct participatory management for developing sustainable lowcarbon communities, what community performances in sustainable low-carbon communities and what suggestions for improving community performances are, and what and how contributing factors are involved in such community performances. Villagers were asked about what and why they engaged in sustainable lowcarbon communities, and what suggestions for improving the performances of sustainable low-carbon communities. Agencies were asked about how to encourage sustainable community development at Pa Pae Village. In addition, researchers organized focus group discussions to gain information according to the objective of this research. Five leaders were invited to discuss about participatory management for developing sustainable low-carbon communities, community performances in sustainable low-carbon communities, suggestions for improving such community performances, and contributing factors of such community performances, and five villagers were invited to discuss about their engagement in sustainable lowcarbon communities and suggestions for further performances of sustainable low-carbon communities.

# 2.4 Data Analysis

All data collected from in-depth interview and focus group, along with document investigation, were recorded, transcribed, and analyzed, respectively. Data analysis consists of coding, constant comparative methods, and memo-writing which were important procedures for the accuracy and completion of descriptive data. Coding was the stage of categorizing segments of data or naming and organizing data. During coding, constant comparative methods were occurred to distinguish the similarities and differences between data and categories. At the same time, memo writing or simple note taking were continuously developed and improved. In other words, memo writing provided a draft of description and directed further coding and constant comparative methods until the description was completed.

### 3. Results

#### 3.1 Participatory Management

Participatory management for developing sustainable low-carbon communities was embedded in the process of community development. It consisted of a cycle of three stages (see figure 1): need identification, planning, and implementation. This cycle required community meetings where Pa Pae villagers were able to make decisions from sharing and discussing information, need, problems, ideas, and opinions about community performances of sustainable low-carbon communities. Normally, the community committee at Pa Pae Village organized and led the community meetings for managing the development of the village on

regular basis every month. The details of each stage were described below.

## 3.1.1 Need Identification

This stage focused on identifying the advantage and need to conduct sustainable low-carbon development before making decision in the next step. After the community committee and villagers obtained information about sustainable low-carbon communities from the Royal Project Development Center at Mae Sa Rieng District, Mae Hong Song Province, they discussed about sustainable low-carbon communities in the community meeting and agreed that carbon emission for sustaining community economy was inevitable. Moreover, the community committee and villagers accepted that they would obtain several advantages from engaging with sustainable low-carbon communities; living in magnificent environment, conserving forests for further use maintaining sufficient livelihoods, and enhancing quality of life.

#### 3.1.2 Planning

Since the community committee and villagers realized the need to conduct sustainable low-carbon communities, relevant information was gathered to identify what and how to conduct. The Royal Project Development Center at Mae Sa Rieng District, Mae Hong Son Province also provided them information and checklist of actions before they discussed about action plan for sustainable low-carbon communities, including environmentally friendly agriculture, forest conservation, environmental health, community strength, and natural resource use. This action plan was imbued with the master plan of village development. The community committee and villagers also assigned the tasks of each team in the action plan to demonstrate their accountability toward the environment.

# 3.1.3 Implementation

This stage focused on the implementation or performances as specified in the previous stage for achieving sustainable low-carbon communities. During implementation, the community committee and the villagers were able to share their problems, obstacles, and results in the community meeting. They made decisions to continue the activities that derived advantageous results. In contrast, disadvantageous activities were changed to improve the performances of sustainable low-carbon communities. They also continued the next cycle to review their decisions, revise the action plan, and adjust implementation.

# 3.2 Community Performances in Sustainable low-Carbon Communities

The performances of leaders and villagers at Pa Pae Village complied with the indicators of sustainable low-carbon communities developed by the Highland Research and Development Institute and Mahidol University. These performances were divided into

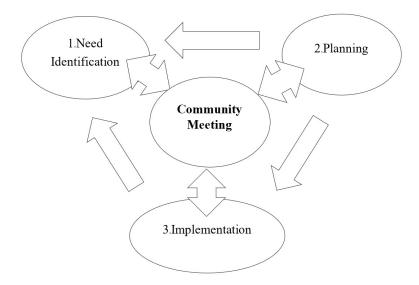


Figure 1: The process of participatory management

five categories as demonstrated in Table 1. Each category also required regular actions and improvements to create sustainable low- carbon communities at Pa Pae Village continuously.

# 3.3 Contributing Factors to Community Performances

#### 3.3.1 Socio-Culture in Community

In general, Pa Pae villagers had strong culture of social cooperation and sufficient livelihoods. They respected the leaders of the village and cooperated in social events of the village and community members. Their livelihoods also depended upon natural resources in forest areas around the village, especially agricultural practices and collecting forest products, which promoted their awareness to protect natural resources and the environment.

# 3.3.2 Community Leaders

The village leader and the community committee at Pa Pae Village agreed to lead community to sustainable development since they realized the importance of natural resource and environment in livelihoods. Then, they focused on explaining to villagers in the community meeting and daily talk about the advantages of driving to achieve sustainable low-carbon communities; for example, living in magnificent environment, conserving forests for further use, maintaining sufficient livelihoods, and enhancing the quality of life. Consequently, most villagers participated in the activities of sustainable low-carbon communities.

# 3.3.3 Agency Support

The Royal Project Development Center at Mae Sa Rieng District, Mae Hong Son Province encouraged Pa Pae Village to perform activities of sustainable lowcarbon. They acted as mentor to guide and advise the community leaders how to develop and achieve sustainable low-carbon. They also educated leaders and villagers about sustainable low-carbon communities at Pa Pae Village which helped promote participation in the program. In addition, local administrative encouraged related activities of sustainable low-carbon communities, especially community cleaning and forest conservation.

#### 4. Discussion

The findings of this research highlighted the process of participatory management for developing performances of sustainable low-carbon communities which included need identification, planning, and implementation. This process required the regular meeting, e.g., a community meeting, where functioned as a common platform for communication and discussion leading people to make decisions and commitment toward the mission of carbon emissions [12]. However, building participation should include the stage of evaluation which emphasized the achievement of sustainable low-carbon communities and the benefits toward community members and environment [13]. In addition, the levels of participation should be considered in participatory management, information provision (oneway communication), consultation (two-way communication), active involvement (resources, time, tools, and costs), and social learning (intensive sharing idea and knowledge to convince other people) [19]. In the case of Pa Pae Village, the stage of need identification implied participation at the level of information provision from agency about what sustainable low-carbon communities are. Two-way communication was also conducted between community leaders and community members directing to share ideas and responsibilities for implementing sustainable low-carbon communities which indicated participation at the level of

Table 1. The community performances and suggestions for sustainable low-carbon community at Pa Pae Village, Mae Hong Son Province

		suggestions for sustamable low-carbon community at	
No.	Categories	Performances	Suggestions
1	Environmentally	- Avoid using chemical substances and	- Should encourage
	Friendly	pesticides in farmland by focusing on	entire farmers to
	Agriculture	organic farming and good agricultural	request certification
	Agriculture		
		practices (GAP); however, ten farmers and	of organic farming or
		twenty-four farmers are certified	GAP.
		respectively.	
		- Focus on soil restoration by using lime and	- Should promote
		organic fertilizer (manure and compost)	water management
		including crop rotation, and planting vetiver.	plans to control water
			-
		- Use sprinkles and traditional methods to	use and maintain
		save water (rainwater, watering can, and	water quality.
		stagnant water in filed plot) in agricultural	<ul> <li>Should monitor</li> </ul>
		practices, including releasing less water into	water and soil quality
		rice fields.	regularly.
2	Forest	- Collect data about forest area boundary of	- Should post forest
2	Restoration and		-
	Restoration and	community, agriculture areas, tree position,	regulations to
		fire brake line, and forest fire areas which	community members
		help plan forest restoration and	in public space and
		conservation.	continue activities of
		- Determine community regulations for	forest conservation to
		protecting forests such as banning felling	stimulate
			conservation
		trees and using forest products.	
		- Conduct related activities such as breeding	awareness.
		plants, planting trees and local plants,	<ul> <li>Should encourage</li> </ul>
		building firebreaks, and building weirs to	propagation and
		increase humidity in the forest.	cultivation of local
		- Report and monitor activities of forest	plants for forest and
		restoration and conservation.	
		restoration and conservation.	biodiversity
			conservation.
3	Community	- Promote neat, tidy and clean houses	<ul> <li>Should record the</li> </ul>
		supported by local administrative	type and amount of
		organization, public health volunteers and	waste in households
		the Royal Project at Pa Pae District which	and communities for
		· · · · · · · · · · · · · · · · · · ·	
		led to obtaining the clean community award	developing a waste
		of the year 2021 from the local	management plan.
		administrative organization.	<ul> <li>Should promote</li> </ul>
		- Encourage waste sorting in households to	waste reduction such
		organic waste, recycle waste, and hazardous	as using cloth bags
		waste. For wastewater drainage, connect the	instead of plastic
		wastewater pipe from households to layer	bags, and reusing
		filters of rock, sand, and soil in a circular	waste.
		cement pond before releasing water to the	<ul> <li>Should improve</li> </ul>
		ground.	community waste
		- Determine the area for a community waste	management such as
		pit and regulations for using the community	preventing rubbish
		waste pit.	scattering from
		- Maintain quality of drinking water sources	animals in the
		by cleaning water tanks and monitoring	community waste pit,
		water quality in the water tanks.	and building
		- Educate community members about using	participation with
		water filter and boiling water before	community members.
			community members.
	<b>a</b>	drinking.	G1 11
4	Community	- Focus on planning process for community	- Should promote
	Strength in	development: problem and need	learning process to
	Response to	identification, SWOT analysis, and solution	empower leaders and
	Changes	proposal.	community members
		- Integrate the philosophy of sufficiency	for adapting to
		economy into community activities; for	changes.
		example, saving, cooperative management,	
		promoting occupation and income,	
		preventing youth from drug addiction, and	
		conducting public benefit activities.	
		- Provide handicraft learning center of Lava	
		ethnics.	

Table 1. The community performances and suggestions for sustainable low-carbon community at Pa Pae Village, Mae Hong Son Province (Con.)

No.	Categories	Performances	Suggestions
5	Resource	- Educate energy saving in the household;	- Should save
		for example, carpooling and using firewood.	economic forest
		- Reduce forest exploitation.	products for
		- Promote planting economic trees after	sustainable
		felling trees.	livelihoods.

consultation and active involvement, especially individual resources and times. However, Pa Pae Village should improve participation at the level of social level to empower intensive communication and education.

This research also indicated that the community leader is a contributing factor to community performances in sustainable low-carbon communities implementing participatory management. Therefore, leadership development is essential to achieve sustainable low-carbon communities. In this sense, the community leaders should have the competency of selfmanagement (awareness of leadership, learning ability, and conflict management), social skills (building and maintaining relationships, building effective teamwork, and communicating with people), and facilitation skills (creative thinking, strategic thinking, managerial skill, and coping with change) [20]. Kirk and Shutte (2004) [21] propose triangle framework of community leadership development which are composed of connective leadership (building common goal and team work), leading change through dialogue (promoting collective learning and participatory action), and collective empowerment (developing social relationship which leads to realize on community role). However, how to develop community leadership for participatory management is required further research to achieve sustainable low-carbon communities, especially in a period of rapid change in the community.

#### 5. Conclusion

Participatory management for developing sustainable low-carbon communities was embedded in community development and involved a cycle of need identification, planning, and implementation. This cycle was driven by regular meetings where people were able to make discussions and decisions together. The community performances of sustainable low-carbon communities derived according to participatory management included environmentally friendly agriculture, forest restoration and conservation, community health management, community strength, and resource-saving. The contributing factors of these performances consisted of socio-culture in the community, leaders, and agency support. However, community leaders should emphasize the stage of evaluation in participatory management to increase the effectiveness of developing sustainable low-carbon communities. The government should also focus on leadership development to continue the community performance in sustainable low-carbon communities and should encourage an active social learning process to fulfill participatory management for achieving the goal of sustainable development. In addition, a study about developing leadership in participatory management for sustainable low-carbon communities should be conducted.

#### References

- X. Zhang, G.Q.P. Shen, J. Feng, Y. Wu, Delivering a lowcarbon community in China: technology vs. Strategy?, Habitat International 37 (2013) 130-137.
- [2] K. Hobson, R. Mayne, J. Halmilton, Monitoring and evaluating eco-localisation: Lessons from UK low carbon community groups. Environment and Planning A, Economy and Space 48(7) (2016) 1393-1410.
- [3] J.A. Chapman, N.A. Pambudi, Strategies and user-driven transition scenarios: Toward a low carbon society, encompassing the issues of sustainability and society equity in Japan, Journal of Cleaner Production 172 (2018) 1014-1024.
- [4] L. Yu, Low carbon eco-city: New approach for Chinese urbanization, Habitat International 44 (2014) 102-110.
- [5] C. Potipituk, Suasive measures in environmental management for sustainable low carbon communities, NRRU Community Research Journal 13(2) (2019)1-14.
- [6] T. Liu, Y. Wang, H. Li, Y. Qi, China's low-carbon governance at community level: A case study in Min'an community, Beijing, Journal of Cleaner Production 311 (2021) 127530.
- [7] E.Heiskanen, M.Johnson, S.Robinson, Vadovics, E, Lowcarbon communities as a context for individual behavioural change, Energy Policy 18(12) (2010) 7586-7595.
- [8] H. Yuan, P. Zhou, D. Zhou, What is low-carbon development? a conceptual analysis, Energy Procedia, 5(2011) 1706-1712.
- [9] L. Middlemiss, B. D. Parrish, Building capacity for low-carbon communities: the role of grassroots initiatives, Energy Policy 38(12) (2010) 7559-7556.
- [10] A. Egeru, Role of indigenous knowledge in climate change adaptation: a case study of the Teso Sub-Region, Eastern Uganda, Indian Journal of Traditional Knowledge 11(2) (2012) 217-224.
- [11] U. Leknoi, Suburban community to green community driven guideline: low carbon community, Journal of MCU Peace studies 5(2) (2017) 56-74.
- [12] E. Shagholi, R. Abdolmalki, A. A. Moayedi, New approach in participatory management, concepts and applications, Procedia Social and Behavioral Science 15 (2011) 251-255.
- [13] J. M. Cohen, N. Uphoff, Rural development participation: concept and measure for project implementation and evaluation, New York: Cornell University, 1980.
- [14] E.Hassenforder, A.Smajgl, J.Ward, Towards understanding participatory processes: Framework, application and results. Journal of environmental management, 157 (2015) 84-95.
- [15] M. M. Rahman, M. E. Kabir, A. J. U. Akon, K. Ando, High carbon stocks in roadside plantations under participatory management in Bangladesh, Global Ecology and Conservation 3 (2015) 412-423.

- [16] M. Lien, N. Huyen, N. Cong, N. Minh, Exploring Potential Participation of Vietnam in the Carbon Market, Low Carbon Economy 11 (2020) 25-43.
- [17] M. Khawtong, U. Leknoi, Lesson learned of driving a low-carbon agricultural community under the concept of new theory agriculture: case study of Khlong Noi district, Mueang district, Suratthani province, Journal of MCU Nakhondhat 8(7) (2021) 390-404.
- [18] E. Rodriguez-Izquierdo, M.C. Gavin, M.O. Macedo-Bravo, Barriers and triggers to community participation across different stages of conservation management, Environmental Con-
- servation 37(3) (2010) 239-249.
- [19] J. Krywkow, M. Hare, Participatory process management. The 4th International Congress on Environmental Modelling and Software, Barcelona, Catalonia, Spain (pp.888-899). 2008.
- [20] T. Schusler, D.J. Decker, M.J. Pfeffer, Social learning for collaborative natural resource management, Society and Natural Resources 16(4) (2003) 309-326.
- [21] D. V. Day, Leadership development. In A. Bryman, D. Collinson, K. Grint, Jackson, B., Uhl-Bien, M., The SAGE handbook of leadership (pp.37-50). London, Thousand Oaks, New Delhi, and Singapore: SAGE Publications. 2011.